Models for Force Control in Telesurgical Robot Systems

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Abstract: Surgical robotics is one of the most rapidly developing fields within robotics. Besides general motion control issues, control engineers often find it challenging to design robotic telesurgery systems, as these have to deal with complex environmental constrains. The unique behavior of soft tissues requires special approaches in both robot control and system modeling in the case of robotic tissue manipulation. Precise control depends on the appropriate modeling of the interaction between the manipulated tissues and the instruments held by the robotic arm, frequently referred to as the tool-tissue interaction. Due to the nature of the physiological environment, the mechatronics of the systems and the time delays, it is difficult to introduce a universal model or a general modeling approach. This paper gives an overview of the emerging problems in the design and modeling of telesurgical systems, analyzing each component, and introducing the most widely employed models. The arising control problems are reviewed in the frames of master-slave type teleoperation, proposing a novel soft tissue model and providing an overview of the possible control approaches.

Keywords: surgical robotics; force control; tissue modeling; teleoperation; time delay control

1 Introduction

Healthcare services that are performed or supported by robots from long distances have opened new frontiers in diagnosis and surgery. The initial idea of teleoperation first appeared at NASA in the early 1970s [1]) The idea telesurgery was born in the concept of space exploration. Although the concept of telesurgery in space has never been implemented in real applications, several simulations and research projects have led the development to breakthrough in 2001, when the first intercontinental telesurgical procedure was carried out between the USA and France, based on ISDN communication [2]) The successful procedure proved that theoretically, in special, urgent cases, doctors and surgeon could contact and reach out for patients thousands of kilometers away.

It is most likely that in the near-future, the research and development of telesurgical applications will focus on applications in remote, rural and dangerous areas such as war zones or contaminated sectors. It is evident that the difference between surgical procedures on Earth and in space environments is vast, in terms of complexity. During the past decade, several remote surgery experiments were conducted by NASA on Earth, under extreme conditions. The experiments took place in the world's only permanent undersea laboratory, NEEMO (NASA Extreme Environment Mission Operations), concluding their latest project on September 13, 2014.

Existing issues in telesurgery include the modeling and control challenges of both master and slave sides, while the communication with the surgical crew on Earth creates further issues to address. Most of the difficulties in teleoperation are caused by signal latency and lagging. With the increase of the distance between the master and slave sides, these effects are magnified. Many disturbing effects can be reduced in a general teleoperation surgical robotic system by a well-chosen system architecture and proper control methods. A detailed review article about the current capabilities in surgical robotics, primarily focusing on teleoperated systems was published by Hoeckelmann et al. [4], while available options and a proposed control and modeling framework for telesurgical applications was proposed by Jordán et al. in [5].

One of the major issues of currently available telesurgical systems is the lack of reliable haptic feedback, leaving surgeons to only rely on their visual sensing during procedures. The aim of this work is to give an overview of the concept of telesurgery, approaching the problem from the modeling point of view, addressing the effect of force control and the role of modeling. In Section 2, a state of the art overview is given about telesurgery today, Section 3 briefly presents the components of a telesurgical system, addressing some issues and modeling approaches. Section 4 describes the problem of tool–tissue interaction, giving a thorough summary of the most relevant models found in the literature, with special attention to the available soft tissue models and approaches.

2 State of the Art

Today, the da Vinci Surgical System by Intuitive Surgical Inc. (Sunnyvale, CA) is the best-known and most popular surgical robot system, functioning as a teleoperated manipulator. As of March 31, 2015, there was an installed base of 3,317 units worldwide: 2,254 in the United States, 556 in Europe, 194 in Japan, and 313 in the rest of the world [6]. In the case of the da Vinci, the system is not used routinely for long-distance procedures and interventions. Primarily due to the limitations of the communication protocol, which is a custom-developed component of the system. However, there is a potential for using the da Vinci robot at a greater distance, which has been proved by some limited experiments. One of these includes the collaborative telerobotic surgery initiation by DARPA in 2005, when several modified da Vinci consoles were able to overtake the control from one another through the Internet [7]. In 2008, CSTAR (Canadian Surgical Technologies and Advances Robotics, London, ON) used the core network of Bell Canada for testing a modified, telesurgery-enabled version of the da Vinci. Altogether six successful pyeloplasty procedures were performed on porcine kidneys using telesurgery, with the slave manipulator located in Halifax, Nova Scotia, 1,700 kilometers away from the controllers [8]. The Plugfest was one of the most notable experiments in the past years in this research domain, allowing eight master devices to connect with six slave machines [9]. Simulated interventions such as peg transfer tasks (SAGES Fundamentals of Laparoscopic Surgery) were successfully supported for more than 24 hours, using the Interoperable Teleoperation Protocol (ITP) [10]. The recent advances in the reliability of the Internet network allows these high-level experiments to be executed safely, however, the Internet backbone infrastructure is becoming overloaded, with an immediate effect on the lag times [11]. In order to protect the patients in the future, some of the security issues need to be addressed, in accordance with IEC 80001-1:2010. When we discuss control over delayed channel, numerous safety and performance issues arise. Furthermore, there is a need for surgical training in the use of latency-affected master consoled, helping the operators learn how to tolerate latencies and other disturbing effects [12].

3 Teleoperation Systems

Just like every teleoperation system, master–slave surgical robots systems in general consist of three major components from the control and modeling point of view: the slave device, the master device and the communication system. In the field of telesurgery, slave-side modeling is extended with the phenomena of tool–tissue interaction, the contact problem addressing the behavior of the tool and the soft/hard tissue under manipulation. The modeling of the components is essential

for building a valid simulator for the system as a whole, creating the possibility of observation and analysis of control attributes, properties and behaviors. The models are subject to validation, both individually and as a part of the assembly. The schematic illustration of the functional components of a general telesurgical system is shown in Fig. 1.



Figure 1 Block diagram of a general telesurgical system from the control point of view

3.1 Communication System

The communication system is the component responsible for data transfer, coding and decoding control signals and other tasks that make the communication between the master and slave devices possible at all levels. In general, the communication system includes a transmitter, a receiver and the communication medium. Signal quality and latency are both dependent from the subcomponents, individually. Besides quality issues, in telesurgical systems data loss is one of the most critical problems to be solved, which is, in general, the best handled by particular custom-designed protocols or the User Datagram Protocol (UDP) [11].

Humans have limited adaptability to time delay, it generally varies between 0.3–0.5 seconds. In 2001, during the first trans-Atantic telesurgical intervention, the Zeus robot was in use, created by Computer Motion (Mountain View, CA) was recording a mean signal delay of 155 ms [14]. According to the measurements, 85 ms of lag appeared in signal transmission, while it took 70 ms to encode and decode the video streaming from the slave side. It is important to note that currently all surgical robots employed routinely in clinical applications are only providing visual and audio feedback. Haptic feedback is yet to be perfected due to stability issues. Data encoding would also increase the lag in long-distance communication.

Effects of time delay can be reduced with various control methods designed for latency-tolerance, therefore, there is an opportunity to bridge larger distances with these technologies. In order to achieve this, the system components must be modeled in a robust way, including all three main components of the teleoperation system. From the communication system approach, the master includes a controller and/or a human operator, subject to latencies, which is interconnected with the slave model through a high-delay medium. Using appropriate predictive controllers, the time delay can be partially alleviated in the deriving cascade setup, if the controller is well-tuned for both the master and slave systems [15].

3.2 Master Model

The master side is the component, where the human operator or a control device is located. In the past decades, several human models have been created to address the human behavior in the control loop. One of the most significant classical models is the crossover model, which was developed in the 1960s in order to model the behavior of fighter pilots during flight [16]. The crossover model is based on the time-dependent non-linear response of the human body, using a quasi-linear approximation. The complexity of the model highly depends on the precision of the task to be executed. However, there is a commonly used, reasonably good approximation:

where the term in the brackets stands for the human physiological limitations, including the delay of the human reaction time. The time constant τ_N refers to the neuromuscular system, where the delay occurs. K_p represents a static gain, while τ_1 and τ_L express the time delay section and the control time constant, respectively. The trade-off for the simplicity of this model is that is does not represent other, detailed human attributes such as motivation, expertise and fatigue.

Another popular model of human operators was created by Ornstein [17]. A significant development compared to the crossover model is that the Ornstein model can also be applied in tracking tasks:

$$H = \frac{q_{F} q_{S}}{l_{F}} e^{s}$$
(2)

The coefficient values are determined by taking some physical attributes into account, such as velocity or static gain [18]. Due to the relatively high number of parameters, this model can become rather sophisticated, allowing one to describe neuromuscular effects or other dynamic response characteristics [19]. Furthermore, a large variety of sensory input noise can be modeled using a general signal disturbance, creating the possibility to include vision modeling [20].

In practice, the most commonly used non-linear human operator model is the GM/UMTRI car driver representation, developed at General Motors. The basis of this model is a general, quasi-linear UMTRI driver model [21]. These models have been widely used for the representation of master–slave type telesurgical tasks [22].

3.3 Slave Side Models

In telesurgical applications, functionality and safety requirements are, in general, higher than in other robotic applications. At the design stage, autonomous capabilities and proper mechanical modeling are important in satisfying these issues. In general, the kinematic model of a slave robot is described at a high level of precision in the details, enabling its integration in dynamic and kinematic models [23], [24]. These models, along with the appropriate image guidance and modeling, can largely increase the accuracy and safety of surgical interventions [25].

In robotic surgery, one of the most critical issues is the correct description of the model of the robot arm, the model of the manipulated tissue, and the behavior of these elements during manipulation tasks, on contact. This paper primarily focuses on soft tissue manipulation problems, while the issues involving hard tissues are in the focus of machining technology studies since drilling, milling and turning are exposed to great vibration and thus require stability issues. Most of the types of human soft tissues are inhomogeneous, viscoelastic, anisotropic and highly non-linear materials. Therefore, object grabbing, cutting and other deformation analyses require significant effect.

3.4 Soft Tissue Models

Minimal Invasive Surgical (MIS) applications require an effective surgical training of the medical crew, which, in general, is achieved by virtual surgical training. Famaey and Sloten created a comprehensive review of the existing and most widely used soft tissue models that are integrated in MIS virtual surgical interfaces, introducing three major categories of tissue deformation and stress models [26]:

- Heuristic models (mass-spring-damper models)
- Continuum-mechanics based models
- Hybrid models

It is important to note that the complexity of the models within these categories varies on a wide scale, therefore, it is hard to compare them to each other. Due to their common use in finite element modeling, continuum-mechanics based models are considered to be the best approach for modeling realistic response to the task. In practice, continuum-mechanics based models require high computational capacity, whereas analytical solutions usually do not exist. The global behavior of soft tissues, particularly their viscoelastic nature can be modeled in a simpler way by using heuristic models. These consist of virtual mass, spring and damper elements, lumped together, ideal for describing simple manipulation tasks, such as grabbing, one-dimensional indentation or needle insertion. Due to the simplicity of

this approach, analytical or semi-analytical solutions usually exist. The most commonly used heuristic models are shown in Fig. 2.



Figure 2 The most commonly used mechanical models of viscoelasticity: a) Voigt model, b) Maxwell model, c) Kelvin model

Spring and damper elements combined provide a versatile tool for viscoelastic behavior description. In small scales, when the strain according to the applied force remains under 1%, the use of purely linear, single-spring element models is justified. However, in practice, the complex geometry and the highly nonlinear behavior of soft tissues require advanced models. The modularity and the possibility of analytical solutions provide a great advantage by using heuristic soft tissue models. A detailed overview of the mass–spring–damper models have been presented in [28]. It was also shown that based on experimental data these models can provide realistic response for 1-DoF (Degree of Freedom) indentation tasks in the tissue relaxation phase, e.g. when the tissue is compressed at a high speed with a step-like input and is kept at that deformation until the transient force response settles down.



Figure 3
The proposed heuristic soft tissue model, the nonlinear Wiechert model

The nonlinear model shown in Fig. 3 was used in [29] for the verification of liver indentation experiments, showing that an 8-parameter mass–spring–damper model can be used for the estimation of force response under 1-DoF indentation of soft tissues. The model is built up from a nonlinear spring element in a parallel connection with 2 nonlinear Maxwell-bodies, where the spring stiffness characteristics are represented by:

$$k_i(x) = K_i e^{i \xi x}, \tag{3}$$

where x denotes the compression size of the spring elements, K_i and κ_i , i = 1, 2, 3 denote the spring characteristics constants, while $b_{1,2}$ are linear damping elements. If x_0 refers to a point on the soft tissue surface, x_1 and x_2 mark the position of two virtual points inside the Maxwell-bodies, the proposed nonlinear soft tissue model can be written in the following state–space form:

$$\begin{aligned} \mathbf{\hat{k}}_{0} &= u(t) \\ \mathbf{\hat{k}}_{1} &= \frac{1}{b_{1}} K_{1}(x_{0} - x_{1}) e^{x_{1}(x_{0} - x_{1})} \\ \mathbf{\hat{k}}_{2} &= \frac{1}{b_{2}} K_{2}(x_{0} - x_{2}) e^{x_{2}(x_{0} - x_{2})}, \end{aligned}$$

$$(4)$$

where u(t) is the system input, representing the surface deformation velocity profile, while the system output, y(t) stands for the force response of the system, i.e. the reaction force at the soft tissue surface:

4 Tool–Tissue Interaction Models

In the past years, the topic of tool-tissue interaction modeling has gained interest due to the elevated demand for teleoperation and telemanipulation, as well for sophisticated control methods for advanced manipulators, which also require precise path planning for autonomous surgical tasks carried out by robots [30]. In telesurgery, one of the most addressed issues is the lack of force feedback in the existing telesurgical applications. This requires an extensive knowledge about the models of surgical tools, human tissues and their interaction. A comprehensive study on recent development in tool-tissue interaction modeling was presented by Misra et al. [31]. This survey focused on interaction types between models, primarily addressing continuum mechanics based structures and finite element methods. Takács et al. extended the area of interest for telesurgical applications, summarizing the most important models used in practice in Table 1 [32].

Table 1 summarizes the basic properties of the most commonly used tool-tissue interaction models in some of the most relevant robotic surgery applications. The analysis included the use case of the model; the tissue model type, (which all can be categorized into the listing in this section), the tool model, (which, in most cases goes down to a rigid model, as the stiffness values of soft tissues are orders of magnitudes lower than that of the surgical tools), the feedback type as the future of the surgical robotics applications is most likely connected (to the development of telesurgery), sensor types (for mounting miniature sensors on the surgical instruments is still a great challenge, which is mostly solved by placing the sensor elements away from the tool tips, using transformations based on tool model data for realistic data response), and model complexity (which is essential for the investigation of further usability in a particular application due to computation capacity and time limitations).

Model	Used for	Tissue model	Tool model	Feedback type	Sensors	Model complexity	Author
1	Analyzing transparency under slave–link and joint flexibility	Rigid	Linear elastic	Force feedback to user's hand	Position and velocity sensors at tool tip	Varying, determined by the mechanical model of the tool	Tavakoli <i>et</i> <i>al.</i> 2009 [33]
2	Medical training through simulation in virtual reality	Mesh based FEA model, using modal analysis	- rigid	Force and torque feedback, collision detection and detection of multiple tissue layers	Force and position sensors mounted on the tool, held by the user	The complexity is determined by the level of system reduction	Basdogan <i>et</i> <i>al.</i> 2004 [34]
		Real-time method of finite spheres				Simple, with minimized computational effort	
3	Real-time modeling of soft tissue response in computer simulation, using haptic device	Meshless, multi–layered three– parameter viscoelastic	rigid	Force feedback through Omega 7 haptic devices, visual feedback	No sensor mounted on the instrument	Advanced mechanical model	Bao <i>et al.</i> 2013 [35]
4	Detecting lumps in organ tissues (kidney, liver, heart)	7 different models, model validation on real tissues	rigid	No feedback to human user	1 DoF force feedback from point-to-point palpation	Increased accuracy with model complexity	Yamamoto 2011 [36]
5	Detection of lumps in prostate tissues, definition of forbidden regions	Manufactured artificial tissue	rigid	3D visual feedback generated with a stereo-vision system	Position, velocity and force sensors on slave side	Hunt–Crossley, a complex but accurate model	Yamamoto et al. 2012 [37]
6	Validation of a	Maxwell-	rigid	No feedback to	Force sensor at	Simple mechanical	Leong et al.

	mechanical model of liver tissue, interaction with scalpel blade	Kelvin viscoelastic, mechanical model		human user	the scalpel blade holder, position measurement.	model	2013 [27]
7	Force control on robotic-assisted surgery on beating heart	Kelvin– Boltzmann viscoelastic mechanical model	rigid	No feedback to human user	Force sensor at the end of instrument, position measurement	Simple mechanical model	Liu <i>et al.</i> 2011 [38]
8	Simulation of needle insertion in case of prostate brachytherapy	Mesh–based linear elastic model	Mesh-based linear elastic	No feedback to human user	No sensors mounted on the instrument	FEA model with improved re- meshing performance	Goksel <i>et al.</i> 2006 [39]
9	Analytical model and experimental validation of needle bending at insertion into soft tissues	Neo–Hookean model (hyperelastic), rupture model	Linear elastic	No feedback to human user	Unfiltered camera data for computation of bending curvature	Complex mechanical model, extended use of continuum- mechanics	Misra <i>et al.</i> 2010 [40]
10	Analytical model of tissue rapture due to needle insertion into porcine heart	Modified Kelvin viscoelastic model	rigid	No feedback to human user	Tension/compre ssion sensing mounted on a linear actuator	Moderately complex, using 4 different models for insertion events	Mahvash <i>et al.</i> 2010 [41]
11	Analytical mechanical model for cable- driven tools interacting with artificial tissue	Use of artificial tissue, only contact force is measured	Lumped 1 DoF model with elastic cable	Feedback through DC motor encoders	Built-in encoders near the contact point, force gauge on the cable	Moderately complex, accounting for many mechanical properties	Kosari <i>et al.</i> 2012 [42]

5 Controllers for Teleoperation Systems

Along many different approaches, there has been a unified approach elaborated to resolve the control issues of telesurgical systems [43]. The design of controllers for teleoperation systems [14], [15], [44]-[50] is characterized by three unified features. These features will be pointed out as follows, along with details on the control system structures and controllers (algorithms) implemented in previous studies.

The first unified feature is the cascade control system structure given in Fig. 4. This control system structure points out the inner and the outer control loops. The inner loop plays its own role, in improvement of process dynamics and eventually compensation for some disturbances that act at that level. The inner controller is first designed and tuned, and the model of the inner control loop is processed such that to be used as a sub-system of the process in the design and tuning of the outer controller.



Figure 4 Cascade control system structure for teleoperation systems [41]

The second unified feature is the approximation of the time delays by first-order approximations in order to get transfer functions expressed in rational forms. This is advantageous because it enables the convenient design and tuning of the inner and outer controllers. In addition, Smith predictors can be further used for the same purpose.

The third unified feature is the use of the Extended Symmetrical Optimum (ESO) method [[51], [52]], to tune the parameters of the inner and outer PI and/or PID controllers. For example, using the inner PID controller transfer function:



the tuning equations specific to the ESO method are:

where **Barrent Structure** is the tuning parameter of the inner control loop, which is selected by the control system designer. The control system performance is further improved by reference input filters. The inner filter is pointed out in Fig. 4 and the outer filter is not.

However, the approximation of large time delays is not generally feasible. But the ESO method is sufficiently robust to handle the model mismatches.

The fuzzy controllers are structured as low-cost PI–, PD– or PID–fuzzy controllers. The linear behavior specific to PI, PD and PID controllers is fuzzified and the modal equivalences principle is generally applied to obtain tune the parameters of the fuzzy controllers. The low-cost controllers are characterized by simple controller structures and design approaches adapted from several results for PI–fuzzy controllers [53]-[1].

A general view of telesurgery problems for space applications is offered in [45]. The process models are considered as: basic human models (with a detailed explanation of the crossover model), the robot model (with simple second-order manipulator model), and the tissue characteristics are given through Fung's exponential force-stretch ratio curve. The ESO method is applied to design the linear controllers in the cascade control system structure.

The same models as those used in [45] are presented in [46], but there is no tissue model. PI and PID controllers tuned by the ESO method and predictive controllers are considered. There are no simulation results in [45] and [46].

The process models described in [46] are used in [44] as well. The time delays re handled in several ways using: empirical Ziegler–Nichols method (tested by simulation results), the ESO method (tested by simulation results), and PID–fuzzy controllers for both the inner and outer loops of the cascade control system structure (without simulation results).

An explanation of cascade control with the requirements is presented in [47]. An explanation of the Smith predictor, using a general open-loop stable transfer function is also given. The controller tuning by Kessler's empirical methods and the ESO method is explained, and the limitations are pointed out. Simulation results are given for Kessler's method employed with Smith predictor for large latencies, and the model parameters are not included.

A four-step design and tuning approach is suggested in [48], and it is accompanied by the detailed explanation of the cascade control system and of the controller design and tuning for both loops using the ESO method. A third-order model for the inner loop (slave robot) and a first-order time-delayed model for human user are used. The digital controllers are obtained using Tustin's method by digitizing the continuous-time controllers. A PID–fuzzy controller is designed as the outer loop controller. Simulation results are included.

Overviews of the Takagi–Sugeno PID–fuzzy controllers, with a detailed theoretical background, and of cascade control systems and their role are carried out in [15]. The third-order slave robot model and the first-order time-delayed model for human user with Padé approximation are used. The controller design and tuning steps of the Takagi–Sugeno PID–fuzzy controller are presented in relation with the ESO method and the modal equivalences principle. A set of simulation results for a case study highlights the fuzzy control system performance improvement.

An overview of medical telerobotics in space is conducted in [49]. The controller design using Kessler's and ESO method are designed using a second-order timedelayed human operator model and a third-order slave robot model. Controller design steps for both the inner and outer loops of the cascade control system structure are given. Simulation results focusing the robustness of Kessler's method in terms of time delay are given.

An overview of the concept of telehealth is presented in [14] along with an introduction of the widely-used human operator and slave robot models. Fung's exponential force-stretch ratio is employed for the tissue model. An overview of the controller design solutions is also presented, with focus on linear control approaches designed by the ESO and on fuzzy control approaches in terms of Takagi–Sugeno PID–fuzzy controllers. The fuzzy control system structures are tested by simulation.

An overview of the components of telesurgical systems and the current difficulties is given in[50]. Using a third-order slave robot model and the cascade control system structure, the outer loop Takagi–Sugeno PID–fuzzy controller are designed using an approach based on Linear Matrix Inequalities (LMIs). The LMIs are derived such that to guarantee the stability of the fuzzy control system. Simulation results are given for the proposed design approach.

Using the outer PID controller with the transfer function:

the series structure of the Takagi–Sugeno PID–fuzzy controller is implemented as the series connection of PI– and PD–fuzzy controller. This leads to the low-cost design and tuning of the PID–fuzzy controller that results from the fuzzification of the linear PI and PD controllers obtained from the decomposition:

$$W_{contented} = W_{s}(S)W_{s}(S),$$

$$W_{s}(S) = \frac{k_{Content}}{S} (1+T_{Cont}S)$$

$$W_{s}(S) = 1+T_{Cont}S$$
(9)

The transfer function can also be decomposed using a parallel structure. The parallel structure has more flexibility. Both PID- fuzzy controller structures, serial and parallel, can be fuzzified partially by keeping some linear components.

6 Discussion

In the past years, telesurgery has become the focus of modern medicine, spreading onto several fields of science, including control engineering, informatics, biotechnology, medicine and many more. One of the most important roles of telesurgery is to synthesize these areas, creating a complex field of study, directly linked to applications. These require a solid foundation from the engineering point of view. This paper summarized the major components of telesurgical systems, presenting difficulties, challenges, approaches, methods and proposed solutions to the emerging control engineering problems. It is discussed, why mathematical modeling of these components individually and together as a whole is crucial in order to fully understand the behavior of these complex systems. It is important to note that whereas the mentioned models and approaches may differ from each other fundamentally, it is not their validity, but their applicability for the specific task that should be considered, when choosing the right model for a given problem.

Besides the most widely used human operator and slave robot models, a detailed table has been presented, listing some of the most relevant approaches to tool-tissue interaction modeling. An overview of the most important control methods for teleoperation systems has also been presented, extending the scope of this paper to real-life applications. Based on the discussed topics, an approach for modeling a complete telesurgical system was proposed. Future work includes the detailed investigation of each component, addressing both applicability and validity, and the integration of the listed components, applying the discussed control methods on the proposed models, verified by simulations and experiments.

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