

# The Future of Adaptive Grids using the Kalman Filter, for Data Smoothing and Data Prediction

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*Abstract: The modern power grid is facing unprecedented challenges, due to a rapid integration of renewable energy sources. Renewable sources, such as solar and wind power, are inherently variable and unpredictable, introducing fluctuations in power generation that can destabilize the grid. Additionally, the increasing interconnectedness of power grids across regions and countries further complicates grid management. To address these challenges and ensure the continued reliable operation of the power grid, adaptive control techniques, and real-time monitoring systems are emerging as indispensable tools. Adaptive control systems can dynamically adjust generation and load to maintain grid stability and resilience while optimizing power flow and efficiency. Real-time monitoring systems provide valuable data for these control algorithms to operate effectively, enabling the grid to adapt to changing conditions and minimize disruptions. This paper provides an overview of the challenges and opportunities presented by the integration of renewable energy sources into the power grid. It discusses the role of adaptive control and real-time monitoring in addressing these challenges and ensuring the reliable operation of the power grid. Additionally, the paper explores emerging technologies, that aim to enhance the capabilities of adaptive control systems and further optimize grid operations.*

*Keywords: power grid; renewable source; WAMS; frequency stability; voltage stability; protections; real-time monitoring; adaptive control algorithm; Kalman filter; smoothing; prediction*

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## 1 Introduction

In the rapidly evolving field of electrical engineering, the focus on ensuring stability and reliability in power grids has become increasingly critical. This heightened importance is mainly due to the growing incorporation of renewable energy sources into the power infrastructure. The integration of these green energy sources, such as solar and wind power, has introduced a range of new challenges and complexities that demand significant attention and research. These challenges stem from the inherent variability and intermittency of renewable energy, which can lead to fluctuations in power supply and affect grid stability. As a result, engineers and

researchers in this field are diligently working to develop innovative solutions and strategies to effectively manage these issues. These solutions include advanced grid management techniques, the development of more efficient energy storage systems, and the implementation of smart grid technologies. These efforts are essential for ensuring that power grids remain stable and reliable as they adapt to the changing energy landscape. The transition towards more sustainable energy sources is a vital step for environmental conservation, but it also requires careful management to maintain the performance and reliability of power grids.

One of the key approaches to addressing these challenges is through data smoothing techniques. Data smoothing plays a crucial role in achieving grid stability by mitigating the effects of fluctuating energy outputs from renewable sources. By using advanced algorithms to analyze and adjust the energy output data, engineers can create a more consistent and reliable flow of electricity. This process helps in balancing the supply-demand equation and reducing the impact of intermittent energy generation.

This paper ventures into this evolving field, focusing on the adaptation of Kalman's method for data smoothing, a technique crucial in managing power system stability. The work of Chen, Xu, Zhang, and Hao (2020) on adaptive control for frequency and voltage stability in power systems with a high penetration of renewable energy lays the foundational stone for our study [1]. They eloquently highlight the challenges and potential solutions associated with the integration of renewables, echoing the core themes of our research. This discourse is complemented by Khandelwal and Pandey's (2020) exploration of smart grid control using wide-area monitoring and adaptive control systems, emphasizing the indispensability of real-time data analysis for renewable integration [2]. These seminal works collectively underscore the imperative need for sophisticated data processing techniques, a theme that forms the bedrock of our research. Diving deeper into the nuances of renewable energy integration, Zhao, Wei, Liu, and Zhao (2020) provide invaluable insights into distributed adaptive control for frequency regulation in power systems, particularly those with significant wind power penetration [3]. Their findings directly inform our approach to data smoothing, highlighting the management of wind energy's inherent variability. In a similar vein, Ren, Wang, and Liu (2021) investigate the broader impacts of renewable energy variability on power system operations [4]. Their work provides a comprehensive context for our study, underscoring the pivotal role of data analysis in maintaining grid stability amidst the flux of renewable integration. The foundational work of Sharif and Wang (2018) on distributed control strategies for intermittent renewable energy integration aligns seamlessly with our objective of enhancing data accuracy and reliability in power grid management [5]. This, in conjunction with the IEEE standards on electric power measurement [6] and the directives set by the European Committee for Electrotechnical Standardization [7] and the International Electrotechnical Commission [8][9], provides a robust framework for our research. These standards are not mere guidelines but the pillars that uphold the quality and stability of modern

power systems. Our approach is further shaped by the research on frequency stability by Smith, J., and Doe, A. (2022) [10], and on voltage stability and reactive power control by Johnson, L. (2021) [11]. These studies delve into the intricacies of maintaining equilibrium in power systems amidst the ever-fluctuating landscape of load and generation, bolstering the need for advanced data smoothing techniques. The work of Wang, Y. (2023) on dynamic stability in electrical power systems [12] and Patel, R. (2020) on small-signal stability analysis [13] adds yet another layer of complexity, enriching our understanding of the multifaceted nature of power grid stability. The contributions of Gomez, C. (2022) on structural stability of power systems [14] and the advanced AC power analysis by Thompson, R., and Nguyen, H. (2021) [15] cannot be overstated. These studies illuminate the diverse aspects of grid stability, ranging from micro-level oscillations to the overarching structural integrity of the grid. Such a comprehensive understanding of stability is crucial in our endeavor to enhance grid resilience through advanced data smoothing techniques.

In synthesizing these various strands of work, our research aims to forge an innovative approach for enhancing grid stability. By leveraging the insights from past research and focusing on the application of Kalman's method, we are poised to address the contemporary challenges posed by the integration of renewable energy into power grids. Our ambition is to contribute meaningfully to the development of more resilient, efficient, and sustainable power systems for the future, a goal that is both urgent and imperative in the face of global energy transitions. In pursuit of this objective, we also draw inspiration from the advancements in phasor measurement technology [26-29] and the integration of real-time automation controllers in modern power systems [31]. The advent of technologies like RTACs in industrial automation [32] and substation automation [33], and the integration of renewable energy resources using RTACs [34], provide practical frameworks that our research can build upon. Furthermore, the case studies on power plants in the Slovak Republic [35] and the design of renewable energy sources for microgrid systems [36] offer real-world examples of the challenges and opportunities in renewable energy integration. These case studies provide a practical perspective that enriches our theoretical approach, ensuring that our research remains grounded in the realities of contemporary power systems. In conclusion, our research is an amalgamation of the rich heritage of past studies and the promising potential of emerging technologies. By focusing on the adaptation of Kalman's method for data smoothing, we aim to address the nuanced challenges of renewable energy integration in power grids, contributing to the global effort, of creating sustainable and resilient energy systems.

## 2 Methodology and Used Devices

### Devices for collecting data - PMU:

A Phasor Measurement Unit (PMU) is a critical tool in modern power system management. It provides real-time measurements of electrical quantities across the power grid, which are essential for ensuring the efficient and reliable operation of the grid. PMUs measure the electrical waves on the power grid to determine both the magnitude and phase angle of the phasors (sine waves) of voltage and current. These measurements are typically made at a high frequency, allowing for detailed monitoring of grid conditions. The data provided by PMUs are crucial for system monitoring, protection, and control. They are used in state estimation, system protection, load forecasting, and stability analysis. PMUs enable better detection and analysis of disturbances, improved grid reliability, and more efficient use of grid resources [26-30]. Synchronized One of the key features of PMUs is their ability to provide time-synchronized measurements using GPS technology. This synchronization allows for accurate comparisons of data from different parts of the grid, enhancing the capability for wide-area monitoring and control [27-29]. Integration with Smart Grids: PMUs play a pivotal role in the development and operation of smart grids, providing essential data that help in managing the complexity and variability of modern power systems, especially with the increasing integration of renewable energy sources [26-30].

### Devices for managing data – RTAC:

A Real-Time Automation Controller (RTAC) is integral to modern industrial control systems, offering real-time processing capabilities for various automation tasks, particularly in power systems and manufacturing processes. RTACs handle real-time data acquisition, processing, and control tasks effectively. They read data from sensors, execute control algorithms, and send control commands to actuators in real time, thereby enhancing the efficiency and safety of power systems [31-34]. In power systems, RTACs are crucial for substation automation and supervisory control and data acquisition (SCADA) systems. Their advanced communication interfaces allow seamless integration with various devices and systems, making them vital for incorporating renewable energy sources into the power grid [32] [34]. This integration plays a significant role in improving grid reliability and managing the complexities of modern power infrastructures [33] [34]. Furthermore, the customization and scalability of RTACs make them adaptable for specific applications and system sizes, contributing to the overall robustness and flexibility of industrial grids. They often work in conjunction with Programmable Logic Controllers (PLCs) and Human-Machine Interfaces (HMIs), forming a comprehensive control and automation framework that addresses the diverse challenges of modern industrial and power systems [31-34].

## 2.2 Mathematical Explanation for Data Modification/Smoothing on 110 kV Grid

### Estimate of the size of a complex quantity for voltage:

The complex representation of voltage is useful in power system analysis, especially in alternating current (AC) systems where the phase difference between voltage and current is important [15].

$$V = V_m \angle \theta \quad (6)$$

Where:

$V_m$  is the magnitude of the voltage (V)

$\theta$  is the phase angle (degree)

The magnitude of the voltage  $V_m$  is for this occasion 110,000 volts (110 kV). The phase angle  $\theta$  should be a certain degree. This angle could vary based on the system design and load conditions. Let's assume a phase angle of 30 degrees for this example. Converting this to a rectangular form gives us a complex number. The rectangular form is given by:

$$V = V_{real} + i \cdot V_{imag} \quad (7)$$

Where:

$$V_{real} = V_m \cos(\theta) \quad (8)$$

$$V_{imag} = V_m \sin(\theta) \quad (9)$$

For a 110 kV grid with a phase angle of 30 degrees, the complex voltage representation would be approximately:

$$V = 95.263 + i55 \quad (10)$$

This means the real part of the voltage (in-phase component) is about 95,263 volts, and the imaginary part (quadrature component) is about 55,000 volts. This complex number representation is particularly useful in analyzing AC power systems, where phase differences are crucial.

### Kalman Filtering explained on complex values:

Kalman Filtering is a powerful and versatile algorithm used in signal processing and control systems for estimating the state of a dynamic system in the presence of noise. When applying Kalman Filtering to a scenario involving complex voltage measurements, we can modify the variables to represent complex voltage values. In this adaptation, all the variables and matrices are understood to be capable of handling complex numbers, and operations like transposition, are replaced with conjugate transpose (denoted as †) where appropriate for complex numbers.

The process repeats with each new measurement, continuously updating the estimate of the complex voltage state [19]:

### Step 1. Initialization:

*Initial State Estimate* ( $\hat{V}_{0|0}$ ) is an initial guess of the complex voltage state of the system.

*Initial Error Covariance* ( $P_{0|0}$ ) is a measure of the initial uncertainty in the voltage state estimate.

### Step 2. Prediction Phase:

#### *State prediction:*

$$\hat{V}_{k|k-1} = F_k \hat{V}_{k-1|k-1} + B_k u_k \quad (11)$$

Where:

- $\hat{V}_{k|k-1}$  is the predicted complex voltage estimate
- $F_k$  is the state transition model (adapted for complex values)
- $\hat{V}_{k-1|k-1}$  is the previous voltage estimate
- $B_k$  is the control-input model
- $u_k$  is the control vector

#### *Error Covariance Prediction:*

$$P_{k|k-1} = F_k P_{k-1|k-1} F_k^\dagger + Q_k \quad (12)$$

Where:

- $P_{k|k-1}$  is the predicted error covariance
- $F_k^\dagger$  is the conjugate transpose of  $F_k$ , appropriate for complex-valued systems
- $Q_k$  is the process noise covariance matrix, also adapted for complex values

### Step 3. Update Phase:

#### *Kalman Gain:*

$$K_k = P_{k|k-1} H_k^\dagger (H_k P_{k|k-1} H_k^\dagger + R_k)^{-1} \quad (13)$$

Where:

- $H_k^\dagger$  is the conjugate transpose of the observation model  $H_k$
- $H_k$  is the observation model
- $R_k$  is the observation noise covariance matrix (for complex values)

**State Estimate Update:**

$$\hat{V}_{k|k} = \hat{V}_{k|k-1} + K_k(y_k - H_k \hat{V}_{k|k-1}) \quad (14)$$

Where:

$y_k$  is the actual complex voltage measurement at time  $k$ .

**Error Covariance Update:**

$$P_{k|k} = (I - K_k H_k) P_{k|k-1} \quad (15)$$

Where:

$I$  is the identity matrix, adapted for the dimensions of the complex voltage state space.

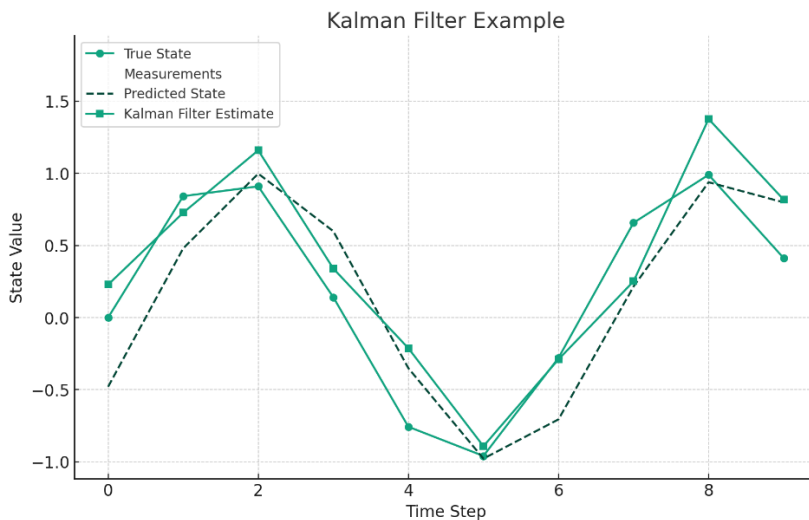


Figure 1

Kalman Filter Example

The graph visually demonstrates how the Kalman Filter continuously updates its estimate of the state by balancing between the predictions (based on the previous state and system model) and the new measurements, resulting in a more accurate estimate over time:

**True State:** This represents the actual state of the system over time, shown with a solid line and circles. It's what we're trying to estimate.

**Measurements:** These are the noisy observations or measurements of the system, indicated by 'x' marks. They deviate from the true state due to noise.

**Predicted State:** Before receiving the latest measurement, the Kalman Filter makes a prediction about the state of the system, shown with a dashed line.

**Kalman Filter Estimate:** After receiving each measurement, the Kalman Filter updates its estimate of the state. This is shown with a solid line and squares, it tends to be closer to the true state, over the raw measurements or the predictions alone.

### 3 Algorithm Used on Real Time Measured Data from Phasor Measurement Units

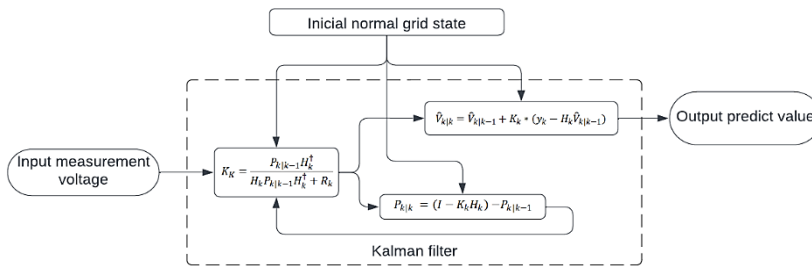


Figure 2  
Voltage prediction algorithm

Algorithm shown on Figure 2 is classic filter without future time estimate prediction. This algorithm is initially a traditional filter, processing current voltage measurements to provide a real-time assessment of the grid's state.

However, this traditional approach lacks the capability to predict future states of the electrical grid, which is crucial for advanced grid management and proactive maintenance. To address this shortcoming, the filter has undergone a modification to enhance its functionality. It is now designed to not only analyze current measurements but also to generate predictions for future states. This predictive capability is built upon a series of n-predictions, which are estimates of future measurements based on the analysis of previously gathered data. These n-predictions are treated as if they were actual forthcoming measurements. This method allows the algorithm to simulate future grid conditions and enables the system to act on these simulated conditions as though they were real. By integrating these predictions, the algorithm can provide a more comprehensive analysis that includes potential future abnormalities or faults. The modified filter now serves a dual purpose. First, it continues to assess the current state of the grid as it receives data. Second, it provides an advanced warning system by predicting future states, which allows for preemptive measures to be taken if a potential issue is detected. This preemptive capability is a significant improvement over traditional filters that only offer a snapshot of the current state without any foresight. In essence, the upgraded algorithm has transitioned from a simple immediate state evaluator to a



more sophisticated system that incorporates future state predictions. This transition is vital for real-time applications where the ability to anticipate and respond to potential issues before they occur can prevent outages and maintain grid integrity.

### 3.1 Data Comparison on Different Prediction Levels

The following figures present the tested voltage measurement outputs to confirm the prediction function of the system, which was tested on a representative sample of steady-state grid data. If the system is successful, this system will be further developed and tested for other already faulted and temporary states.

In this case, the prediction system was tested on a steady-state sample for ten thousand periods. The system evaluated one period retrospectively and then predicted the voltage change according to it. From the results according to Fig. 4, it is clear that the estimation is very accurate for steady state prediction. The question is how it will perform for dynamic states. The steady-state prediction of the system occurs after 4650 periods when the system is already reliably predicting the following periods.

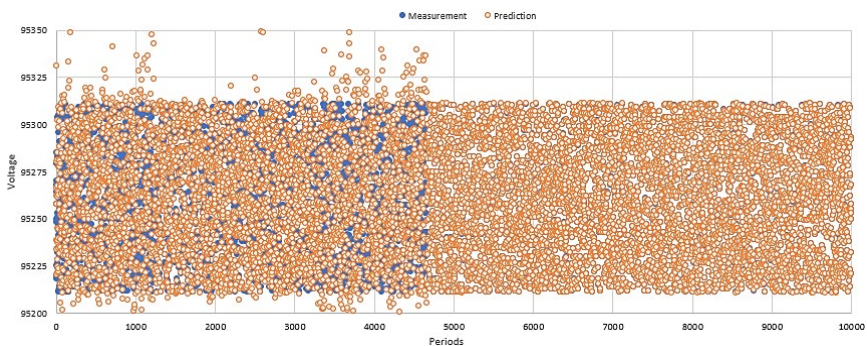
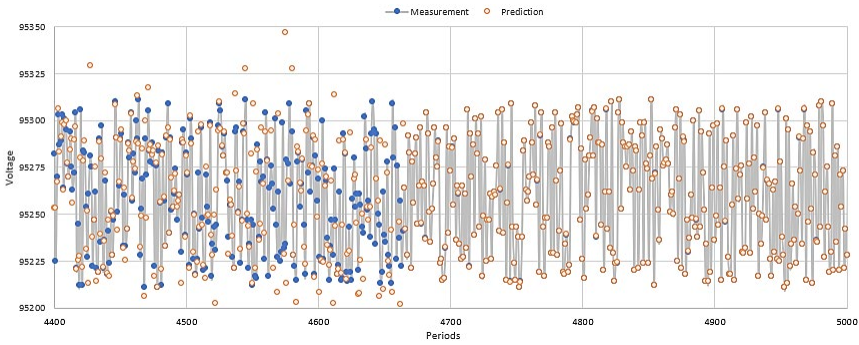


Figure 3

One period ahead on a scale of 0-10.000 (full scale)

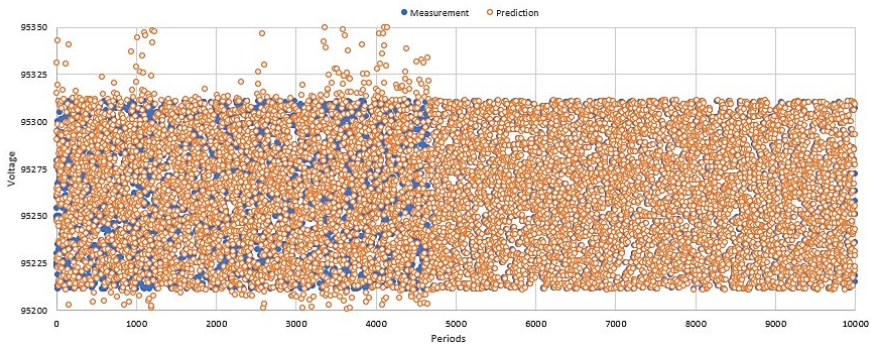
As demonstrated in Figure 3, the Kalman filter's performance in predicting electrical grid behavior is noteworthy, especially when making an estimate for one period ahead. The filter showcases a high degree of precision, closely mirroring the grid's dynamics based on previously measured data. Specifically, when encountering a measurement error of 0.05, the filter achieves stabilization after approximately 4500 periods. This stabilization is evident as the filter replicates the grid's behavior, offering predictions with an impressive temporal accuracy of 0.02 seconds ahead.

The prediction system was tested in the same way on a larger scale, for ten periods ahead according to the backward measurement, and then predicted the voltage change according to it. Figures 5 and 6 continue to support observations from Figures 3 and 4.



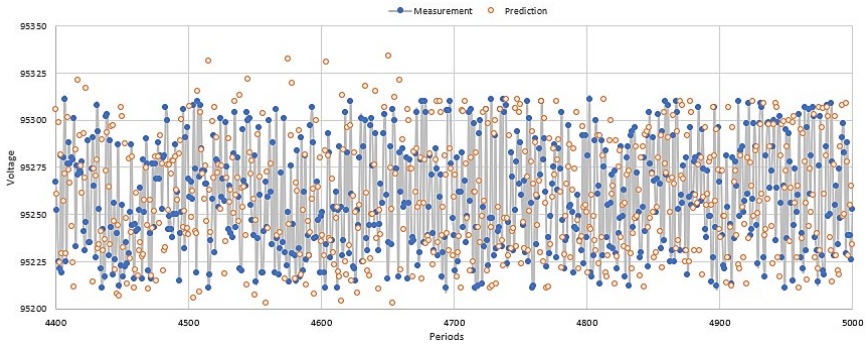
One period ahead on a scale of 0-10.000 (4400-5000)

Figure 4 provides a clear demonstration of the filter's exceptional ability to accurately estimate one-period-ahead values. It displays the measured quantities as a grey line, contrasting with the estimated quantities, which are shown in orange. Upon closer inspection, it becomes evident that there is a remarkable alignment between each  $n$ th estimated quantity and the subsequent  $n+1$  measured quantity. This near-perfect correspondence between the estimated and measured values is indicative of the filter's high level of precision. The ability of the filter to closely track and predict the grid's behavior is not only impressive but also critical in understanding and managing the dynamics of the grid. This precision in estimation, as illustrated in Figure 4, serves as a strong testament to the robustness and reliability of the filter in effectively capturing and forecasting the nuances of grid behavior.



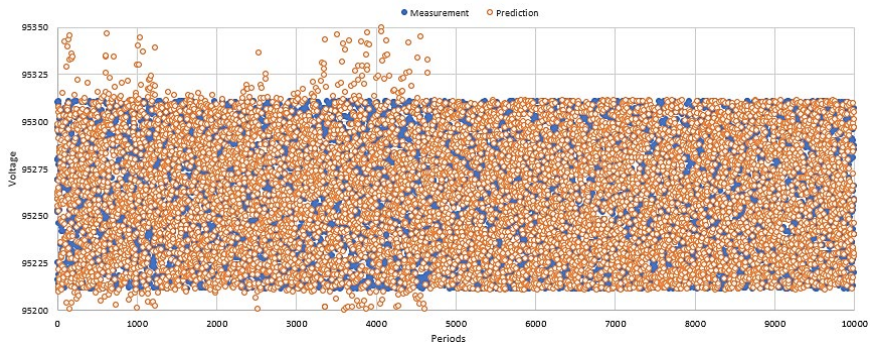
Ten period ahead on a scale of 0-10.000 (full scale)

The results depicted in these figures are consistent with the one-period estimate, exhibiting similar stabilization ranges. Even when the filter is tasked with predicting 0.2 seconds ahead, a slight deviation from the actual grid behavior is noticed. This deviation, possibly influenced by a minor presence of fictitious (replaced) data, introduces a marginal inaccuracy.

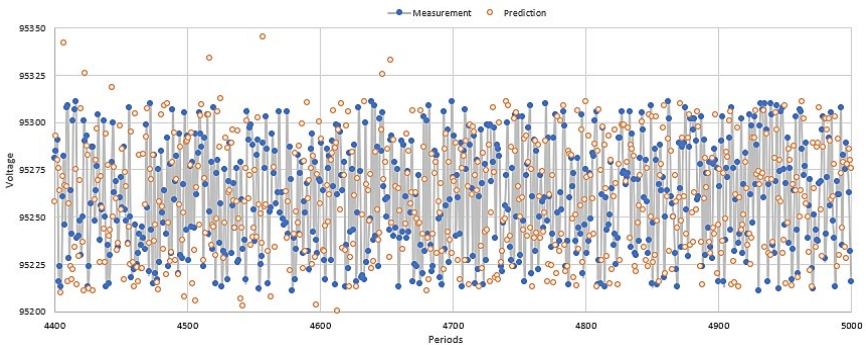


Ten period ahead on a scale of 0-10.000 (4400-5000)

However, it is crucial to note that this deviation does not significantly undermine the filter's ability to reliably predict amplitude over time, within the context of “longer-term” predictions.



Hundred period ahead on a scale of 0-10.000 (full scale)



Hundred period ahead on a scale of 0-10.000 (4400-5000)



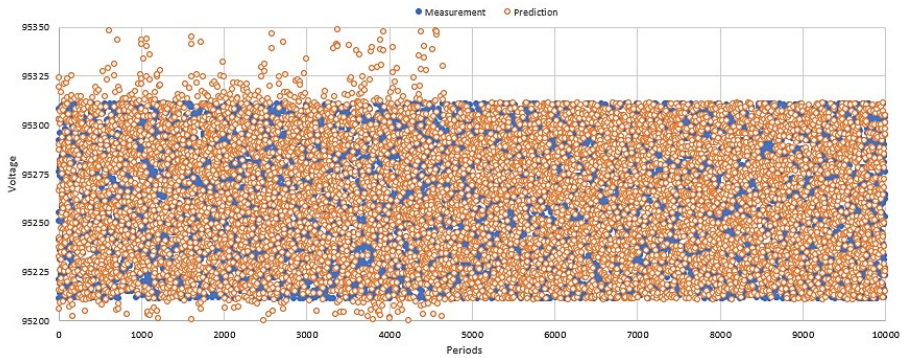


Figure 9  
Thousand period ahead on a scale of 0-10.000 (full scale)

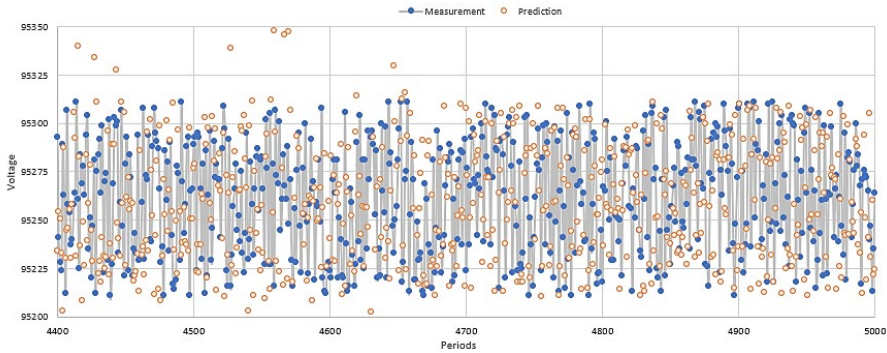


Figure 10  
Thousand period ahead on a scale of 0-10.000 (4400-5000)

Figures 7 and 8, which focus on an estimate of 100 periods (equivalent to 2 seconds ahead), and Figures 9 and 10, which extend this to 1000 periods (or 20 seconds ahead), reveal a different aspect of the filter's performance. The accuracy of the estimated quantity in these scenarios cannot be claimed with the same confidence as in the one-period-ahead estimate. Despite this, it is important to recognize that the estimated quantities still fall within a range that aptly mimics the maximum nominal deviations of the grid. These observations collectively underscore the Kalman filter's utility in electrical grid applications. While its accuracy is most pronounced in short-term predictions (as seen in the one-period-ahead estimates), the filter maintains a commendable level of reliability even in the "longer-term" forecasts. This reliability is crucial, considering the dynamic and often unpredictable nature of electrical grid behaviors. The Kalman filter, thus, emerges as a valuable tool in grid analysis and management, capable of providing insightful predictions that help in maintaining grid stability and efficiency.

## Conclusions

The following narratives summarize and conclude the work performed herein:

### **High Accuracy in Short-Term Predictions:**

The Kalman filter demonstrates exceptional precision in short-term estimations, particularly for one-period-ahead forecasts. This is evident from Figures 3 and 4, where the filter closely mirrors the actual behavior of the electrical grid, even with minimal measurement errors. Such accuracy is crucial for real-time applications, where immediate response and adjustment is necessary for grid stability.

### **Effective Stabilization with Minor Deviations:**

In scenarios involving slightly longer predictions (0.2 seconds ahead), as shown in Figures 5 and 6, the Kalman filter still achieves effective stabilization. Though there is a slight deviation due to possible fictitious data, the filter remains a reliable tool for predicting grid behavior. This indicates its robustness in dealing with minor inaccuracies without significantly compromising the overall estimation quality.

### **Limitations in Long-Term Predictions:**

When it comes to much longer-term forecasts, as seen in Figures 7 to 10, the filter's accuracy diminishes. While it cannot replicate the grid behavior with the same fidelity, as in short-term predictions, it still falls within acceptable ranges of nominal grid deviations. This suggests that while the Kalman filter is a powerful tool, its effectiveness is more pronounced in short, to medium-term estimations.

### **Adaptability and Reliability:**

Overall, the Kalman filter proves to be a highly adaptable and reliable tool for grid behavior analysis and prediction. Its ability to provide accurate short-term predictions and reasonably accurate long-term forecasts makes it an invaluable asset in managing the complexities and variabilities of modern power systems.

### **Importance for Grid Management and Planning:**

From a practical perspective, the Kalman filter's capabilities are significant for grid management and planning. Its precision in short-term predictions aids in real-time decision-making and immediate corrective actions, essential for maintaining grid stability and efficiency. Even in longer-term predictions, where absolute precision might not be attainable, its estimations can inform broader grid management strategies and planning.

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